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# Tm<sup>3+</sup>/Er<sup>3+</sup> codoped germanium glasses for photonic applications

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Abstract—Tm<sup>3+</sup>/Er<sup>3+</sup> codoped sodium magnesium aluminum germanium (NMAG) glasses were prepared and characterized. Broadband near-infrared (NIR) emission in the wavelength range from 1.4 µm to 1.6 µm was observed. The absorption and fluorescence spectra of these glasses were measured and studied. Optical glass waveguides were fabricated using thermal K<sup>+</sup>–Na<sup>+</sup> ion-exchange process, indicating that NMAG glasses are promising materials for broadband waveguide amplifiers, light sources, and tunable lasers.

*Keywords*—Broadband emission, Rare earth ions, Ion exchange, optical amplifier

# I. Introduction

Increasing demand in network traffic stimulates the development of optical communication systems with broad bandwidth that is beyond the conventional window (1530-1565nm wavelength). Hence, it is essential to develop broadband optical amplifier devices that cover the low-loss wavelength region of silica glass fiber. Rare-earth (RE) ions such as Er<sup>3+</sup> and Tm<sup>3+</sup> have attracted much attention due to their unique energy levels, and are often used as the amplification dopants operating in the C/C+L-band (1530-1625 nm) and the S-band (1480-1530 nm) wavelength regions, respectively. Broadband NIR emissions have been obtained in Tm<sup>3+</sup>/Er<sup>3+</sup> codoped chalcohalide glasses and glass ceramics [1-2], indicating that the co-dopant scheme is an alternate approach to achieving broadband photonic devices. For practical purposes, it is desirable to develop  $Tm^{3+}/Er^{3+}$ codoped oxide glasses for broadband NIR photonic devices, in order to expand further the fluorescence bandwidth, and utilize fully the entire low loss window in optical fiber.

The  $\text{Tm}^{3+}$ :  ${}^{3}\text{H}_{4} \rightarrow {}^{3}\text{F}_{4}$  transition suffers severe non-radiative de-excitation when  $\text{Tm}^{3+}$  ions are doped into conventional oxide glass host materials (for example, silica and phosphate glasses), due to the strong multi-phonon relaxation originating from the high phonon energies of these glasses. Previous investigations have shown that heavy metal oxide glasses are good host materials for achieving efficient  $\text{Tm}^{3+}$  1.47µm wavelength emission [3-4], primarily due to their extremely low phonon energies (500–700 cm<sup>-1</sup>) compared with the phonon energies of other oxide glasses. However, these glasses suffer from medium mechanical strength and large crystallization tendency; hence their applications in the realization of robust device are limited. In this work,  $\text{Tm}^{3+}/\text{Er}^{3+}$  codoped NMAG glasses, with good thermal stability, excellent flexibility, and medium-low phonon energy

(<900cm<sup>-1</sup>), have been prepared and characterized. These glasses are also UV sensitive, and the potential combination of optical waveguides and UV-direct writing gratings will give rise to attractive photonic devices, such as gain-flatten waveguide amplifiers, tunable waveguide lasers, and broadband light sources.

# п. Experimental

Tm3+/Er3+ codoped NMAG glasses were prepared from high-purity Na<sub>2</sub>CO<sub>3</sub>, MgO, Al<sub>2</sub>O<sub>3</sub>, and GeO<sub>2</sub> powders according to the host molar composition 23Na<sub>2</sub>O-2MgO-22Al<sub>2</sub>O<sub>3</sub>-53GeO<sub>2</sub>. Different doping levels based on the host weight were used. The raw materials were melted following the preparatory procedures described in [5]. The absorption spectra in the wavelength region from 250 nm to 2400 nm were recorded on bulk RE-doped glass samples using a PerkinElmer UV-VIS-NIR Lambda 19 double beam spectrophotometer. After running a background with air as a reference, the spectra were collected with a spectral bandwidth of 2.0 nm at room temperature. The infrared fluorescence spectra were recorded using a Jobin Yvon Fluorolog-3 Spectrophotometer with a near infrared photomultiplier tube (PMT) and a commercial CW Xe-lamp source. The emission decay curves were recorded using the same setup and a flash Xe-lamp source. All measurements were carried out at room temperature.

Thermal  $K^+$ –Na<sup>+</sup> ion-exchange process was used to fabricate slab and channel optical waveguides in these glasses. The ion-exchange process was performed in pure KNO<sub>3</sub> molten bath at 390°C for several hours, and the refractive index measurements were carried out using a Metricon prism coupler 2010. For the fabrication of channel waveguides, a 150nm-thick high-quality aluminum film was deposited initially on the glass surface using an Edwards Auto 306 thermal evaporator, and 6µm wide windows were opened by wet chemical etching method. After the ion-exchange process, the samples were cooled down to room temperature and the aluminum film was removed. The two end-facets of the waveguides were polished, and the near-field mode patterns were examined using a Hamamatsu vidicon camera.

## III. Results and discussion

Figure 1 shows the absorption spectra of  $Tm^{3+}/Er^{3+}$  (1 wt% : 1 wt%) codoped NMAG glasses, and the emission spectra of  $Tm^{3+}$  and  $Er^{3+}$  singly doped glasses. All the observed absorption bands corresponding to the electron transitions from the ground state to each specified excited state are indicated. Both the  $Tm^{3+}$  and  $Er^{3+}$  ions exhibit ground state absorption bands at ~800 nm wavelength, corresponding to the



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 $Tm^{3+}:{}^{3}H_{4} \leftarrow {}^{3}H_{6}$  and the  $Er^{3+}:{}^{4}I_{9/2} \leftarrow {}^{4}I_{15/2}$  transitions. This absorption is important and significant for obtaining broadband emission using one excitation wavelength. The inset shows the wavelength emissions of  $Tm^{3+}$  and  $Er^{3+}$  singly doped NMAG glasses.

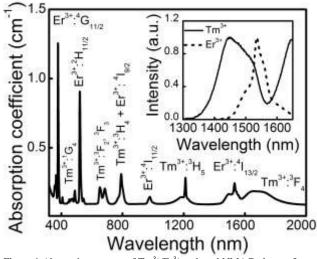


Figure 1 Absorption spectra of  $\text{Tm}^{3+}/\text{Er}^{3+}$  codoped NMAG glasses. Inset: Emission spectra of  $\text{Tm}^{3+}$ - and  $\text{Er}^{3+}$ - singly doped NMAG glasses under 793nm wavelength excitation, respectively.

Figure 2 shows the NIR emission of  $\text{Tm}^{3+}/\text{Er}^{3+}$  codoped NMAG glasses as a function of  $\text{Tm}^{3+}$  concentration under 793 nm wavelength excitation. The  $\text{Er}^{3+}$  concentration is fixed at 0.2wt%. A broadband emission, from 1450 nm to 1650 nm wavelength, has been obtained. The characteristic 1.53 µm wavelength emission is from the  $\text{Er}^{3+}$ :  ${}^{4}\text{I}_{13/2} \rightarrow {}^{4}\text{I}_{15/2}$  transition, and the emission band locates at 1.47 µm wavelength is from the  $\text{Tm}^{3+}$ :  ${}^{3}\text{H}_{4} \rightarrow {}^{3}\text{F}_{4}$  transition. Both wavelength emissions have been observed in various host materials, and the emission bandwidth depends strongly on the host material. The shorter wavelength side of the 1.53 µm mission increases with

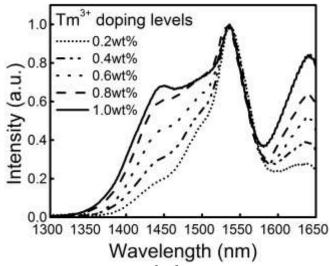


Figure 2 Emission spectra of  $Tm^{3+}/Er^{3+}$  codoped NMAG glasses as a function of  $Tm^{3+}$  concentration under 793 nm wavelength excitation.

increasing Tm<sup>3+</sup> concentration, and a flat broadband emission with full width at half maximum (FWHM) value of 125 nm is obtained when the Tm<sup>3+</sup>/Er<sup>3+</sup> concentration ratio reaches [Tm]/[Er]=5. The ~1.65  $\mu$ m wavelength emission peak recorded is the high-energy edge of the Tm<sup>3+</sup>:  ${}^{3}F_{4} \rightarrow {}^{3}H_{6}$ transition (with a peak at ~1.80  $\mu$ m wavelength), and is limited by the response of the NIR PMT. This result implies that the broadband emission characteristics can be maintained for a suitable fixed concentration ratio. Hence, heavy doping can be used to achieve a wide flat gain bandwidth in this wavelength region.

Figure 3 shows the measured lifetime as a function of  $\text{Tm}^{3+}$  concentration. An average lifetime was determined, because all the decay curves of both the 1.47 and the 1.53 µm wavelength emissions exhibit a slight deviation from single exponential function. With increasing  $\text{Tm}^{3+}$  concentration, the lifetime of the  $\text{Tm}^{3+}$  1.47 µm wavelength emission decreases. The  $\text{Er}^{3+}$  1.53 µm wavelength emission lifetime also decreases with increasing  $\text{Tm}^{3+}$  concentration.

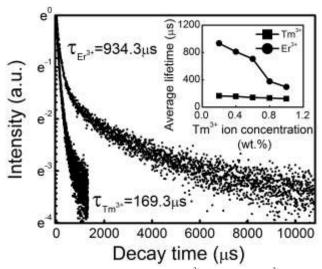


Figure 3 Fluorescence decay curves of  $Tm^{3+}:1.47 \ \mu m$  and  $Er^{3+}:1.53 \ \mu m$ wavelength emissions in  $Tm^{3+}:Er^{3+}$  (0.2 wt%:0.2 wt%) codoped NMAG glasses. Inset: measured lifetime as a function of  $Tm^{3+}$  dopant concentration ( $Er^{3+}:0.2$  wt%, and  $Tm^{3+}$  is 0.2, 0.4, 0.6, 0.8, and 1.0 wt%).

Different possible energy transfer processes have been proposed in reported literatures [6-8]. Figure 4 shows schematically the transition processes, and the details are as follows: (i) Resonant energy transfer (ET) from the  $Tm^{3+}$ :  ${}^{3}H_{4}$  state to the  $Er^{3+}$ :  ${}^{4}I_{9/2}$  state; (ii) Phonon assisted energy transfer from the  $Er^{3+}$ :  ${}^{4}I_{1/2}$  state to the  $Tm^{3+}$ :  ${}^{3}H_{5}$  state; (iii) Quasi-resonant energy transfer from the  $Er^{3+}$ :  ${}^{4}I_{1/2}$  state to the  $Tm^{3+}$ :  ${}^{3}H_{5}$  state; (iii) Quasi-resonant energy transfer from the  $Er^{3+}$ :  ${}^{4}I_{13/2}$  state to the  $Tm^{3+}$ :  ${}^{3}F_{4}$  state with excess energy dissipated in the matrix; (iv) Cross relaxation (CR) process by which the energy is transferred from the  $Tm^{3+}$ :  ${}^{3}H_{4}$  excited state to the  $Er^{3+}$ :  ${}^{4}I_{15/2}$  ground state, leading to a pair of excited  $Tm^{3+}$ :  ${}^{3}F_{4}$  and  $Er^{3+}$ :  ${}^{4}I_{13/2}$  ions; and (v) Energy transfer upconversion process in which excited  $Er^{3+}$  ( ${}^{4}I_{13/2}$ ) ions decay non-radiatively to the ground state by exciting  $Tm^{3+}$  ions from  ${}^{3}F_{4}$  to  ${}^{3}H_{4}$ . Process (i) is usually ignored in comparison with the energy transfers taking place among lower excited states in the  $Tm^{3+}/Er^{3+}$ 



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quasi-resonant energy transfer with the assistance of phonons because of small energy mismatches. The lifetime decrease of the Tm<sup>3+</sup> 1.47  $\mu$ m wavelength emission by the addition of Er<sup>3+</sup> can be attributed to process (iv), and the lifetime of the Er<sup>3+</sup> 1.53  $\mu$ m wavelength emission declines sharply in the presence of Tm<sup>3+</sup> ions, implying the occurrence of the energy transfer process (iii).

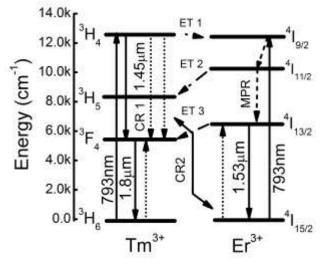


Figure 4 Energy-level diagram showing the NIR luminescence mechanisms of  $Tm^{3+}$  and  $Er^{3+}$  ions in  $Tm^{3+}/Er^{3+}$  codoped NMAG glasses under 793 nm wavelength excitation.

In order to demonstrate the practicality of  $Tm^{3+}/Er^{3+}$ codoped NMAG glasses, optical channel waveguide were fabricated in these glasses using K<sup>+</sup>–Na<sup>+</sup> ion exchange process. Single mode waveguides at 1.55µm wavelength were obtained, and the near-field mode pattern was measured to be 10.5µm in the horizontal direction and 6.6µm in the vertical direction, indicating that there can be excellent optical field overlap between the ion-exchanged waveguide and a standard single-mode fiber. Using the cut-back method, the measured propagation loss is estimated to be ~0.35dB/cm. The loss can be reduced by using purer materials and improved fabrication method.

## **IV.** Conclusion

Broadband NIR emission in the wavelength range from 1.4  $\mu$ m to 1.6  $\mu$ m wavelength has been observed in Tm<sup>3+</sup>/Er<sup>3+</sup> co-doped NMAG glasses under 793 nm wavelength excitation. The FWHM bandwidth depends on the  $Tm^{3+}/Er^{3+}$ concentration ratio, and a maximum value of 125 nm has been The broadband emission characteristics exhibit obtained. negligible change with increasing co-dopant concentrations. Cross relaxation processes have been observed and possible energy transfer processes have been proposed. Single-mode channel optical waveguides have been fabricated using thermal K<sup>+</sup>–Na<sup>+</sup> ion-exchange process. Ion-exchanged optical waveguides based on low phonon energy UV sensitive  $Tm^{3+}/Er^{3+}$ co-doped NMAG glasses will lead to the development of novel broadband photonic devices.

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